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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Applicants: Charles J. Lewis
Serial No. : To Be Assigned
Filed: Herewith
Title: Financial Data Reporting System With Alert Notification Feature and Free-Form Searching Capability
Art Unit: To Be Assigned
Examiner: To Be Assigned
Docket No. 1855-002A

J1002 U.S. PTO
10/053276 PTO
01/18/02



Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir/Madam:

Pursuant to 37 C.F.R. 1.97, applicant hereby submits a statement regarding references known to applicant that may have bearing on the examination of the above-identified application. A separate PTO-1449 form is attached. Copies of each reference designated were previously sent to the Examiner during prosecution of the parent case (Serial No. 09/250,752, filed on 2/16/99), and are not included herein. The inclusion of a reference in the list below does not constitute an admission that such a reference is relevant, nor does it constitute an admission that such a reference is prior art.

1. United States Patent No. 4,346,442 to Musmanno, issued on August 24, 1982;

2. Untied States Patent No. 4,376,978 to Musamanno, issued on March 15, 1983;
3. United States Patent No. 4,597,046 to Musamanno, et al., issued on June 24, 1986;
4. United States Patent No. 4,674,044 to Kalmus, et al., issued on June 16, 1987;
5. United States Patent No. 4,774,663 to Musamanno, et al., issued on September 27, 1988;
6. United States Patent No. 4,700,297 to Hagel, Sr., et al., issued on October 13, 1987;
7. United States Patent No. 5,270,922 to Higgins, issued on December 14, 2993;
8. United States Patent No. 5,297,032 to Trojan, et al., issued on March 22, 1994;
9. United States Patent No. 5,671,363 to Cristofich, et al., issued on September 23, 1997;
10. United States Patent No. 5,765,144 to Larche, et al., issued on June 9, 1998;
11. United States Patent No. 5,781,654 to Carney, issued on July 14, 1998;

12. United States Patent No. 5,826,243 to Musamanno, et al., issued on October 20, 1998;
13. United States Patent No. 5,890,141 to Carney, et al., issued on March 30, 1999.
14. United States Patent No. 4,953,085 to Atkins, issued on August 28, 1990;
15. United States Patent No. 5,182,770 to Medveczky, et al., issued on January 26, 1993;
16. United States Patent No. 5,206,803 to Vitagliano, et al., issued on April 27, 1993;
17. United States Patent No. 5,235,507 to Sackler, et al., issued on August 10, 1993;
18. United States Patent No. 5,490,243 to Millman, et al., issued on February 6, 1996.
19. United States Patent No. 5,774,880 to Ginsberg, issued on June 30, 1998;
20. United States Patent No. 5,806,049 to Petruzzi, issued on September 8, 1998;
21. United States Patent No. 5,905,974 to Fraser, et al., issued on May 18, 1999; and

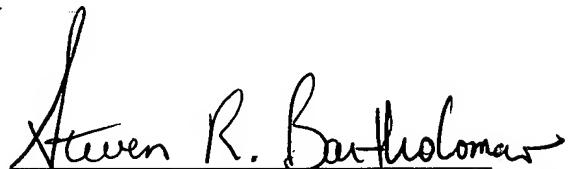
22. United States Patent No. 5,126,936 to Champion, et al., issued on June 30, 1992.

In addition to the foregoing patents, a collection of promotional material is also cited, relating to ancillary products such as The Box, dated 1997-1998. A copy of these materials was submitted in an IDS filed with the parent case, and is not being resubmitted at this time.

If it is determined that a fee is required with this submission, please charge our Deposit Account No. 08-2776.

January 17, 2002

Respectfully submitted,



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Suite 4100
New York, NY 10165
Tel. No. 212-551-5000

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 1855-002A SERIAL NO.
To Be AssignedAPPLICANT
Lewis, Charles J.FILING DATE
Herewith GROUP
To Be Assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE
AA	4 3 4 6 4 4	2	08/24/82	Musmanno	364		
AB	4 3 7 6 9 7	8	03/15/83	Musmanno	364		
AC	4 5 9 7 0 4	6	06/24/86	Musmanno, et al.	364		
AD	4 7 7 4 6 6	3	09/27/88	Musmanno, et al.	364		
AE	4 7 0 0 2 9	7	10/13/87	Hagel, Sr., et al.	364		
AF	4 6 7 4 0 4	4	06/16/87	Kalmus, et al.	364		
AG	5 2 7 0 9 2	2	12/14/93	Higgins	364		
AH	5 2 9 7 0 3	2	03/22/94	Trojan, et al.	364		
AI	5 6 7 1 3 6	3	09/23/97	Cristofich, et al	395		
AJ	5 7 6 5 1 4	4	06/09/98	Larche, et al.	705		
AK	5 7 8 1 6 5	4	07/14/98	Carney	382		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES	NO
AL							
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR Collection of promotional material relating to ancillary
products such as The Box, dated in 1997-98.

AS

AT

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Lewis, Charles J.

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Herewith	To Be Assigned

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE
AA	5	8	2	6	2	4	3	10/20/98	Musmanno, et al.	705		
AB	5	8	9	0	1	4	1	03/30/99	Carney, et al.	705		
AC	4	9	5	3	0	8	5	08/28/90	Atkins	364		
AD	5	1	8	2	7	7	0	01/26/93	Medveczky, et al	380		
AE	5	2	0	6	8	0	3	04/27/93	Vitagliano, et al	364		
AF	5	2	3	5	5	0	7	08/10/93	Sackler, et al.	364		
AG	5	4	9	0	2	4	3	02/06/96	Millman, et al.	395		
AH	5	7	7	4	8	8	0	06/30/98	Ginsberg	705		
AI	5	8	0	6	0	4	9	09/08/98	Petruzzi	705		
AJ	5	9	0	5	9	7	4	05/18/99	Fraser, et al.	705		
AK	5	1	2	6	9	3	6	06/30/92	Champion, et al.	364		

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